

# CART-based Factor Analysis of Intelligibility Reduction in Japanese English

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## Abstract

This study aims at automatically estimating probability of individual words of Japanese English (JE) being perceived correctly by American listeners and clarifying what kinds of (combinations of) segmental, prosodic, and linguistic errors in the words are more fatal to their correct perception. From a JE speech database, a balanced set of 360 utterances by 90 male speakers are firstly selected. Then, a listening experiment is done where 6 Americans are asked to transcribe all the utterances. Next, using speech and language technology, values of many segmental, prosodic, and linguistic attributes of the words are extracted. Finally, relation between transcription rate of each word and its attribute values is analyzed with Classification And Regression Tree (CART) method to predict probability of each of the JE words being transcribed correctly. The machine prediction is compared with the human prediction of seven teachers and this method is shown to be comparable to the best American teacher. This paper also describes differences in perceiving intelligibility of the pronunciation between American and Japanese teachers.

## 1. Introduction

What kind of pronunciation should be pursued in language learning? In English education in Japan, the criterion seems to have been changed from acquiring the *native-sounding* pronunciation to achieving the *intelligible* pronunciation. Foreign accented pronunciations do not always reduce the intelligibility[1] and, if the latter criterion is adopted, it is important to clarify what kind of (combinations of) acoustic and linguistic errors in the utterances are more relevant to miscommunication.

What is the intelligibility of the pronunciation? In the current paper, it is defined as easiness of accessing to a listener's mental lexicon with given utterances. Then, why some foreign accented pronunciations are accepted and the others are not? Factors affecting the mental lexical access have been discussed by lots of researchers[2] and it is easily assumed that different factors have different influences. And also it is easily supposed that it deeply depends upon a listener's language background which factors are how influential. The authors wonder whether non-native teachers can judge the intelligibility of students' pronunciations adequately only by their ears. Some previous studies of language learning discussed the perceptual differences between learners and native speakers of the target language[3, 4]. They tried to induce a paradigm shift of capturing input speech from learners' ways to native speakers' ones. "Listen to me." This is a phrase repeated in class by teachers. But Japanese students don't know how to listen because their manner of perception is not adequate. "Repeat after me." This is another phrase repeated thousand times. But they don't know how to repeat because they don't know the perception of native listeners.

## 2. Japanese English read speech database

JE speech database[5] was used in the transcription experiment. All the utterances were made by Japanese learners' carefully reading given sentence sheets. In this meaning, there are no grammatical or linguistic errors at all in the DB. However, the sentence set used in the experiment was a phonemically-rich set and, to achieve the richness, the set included rather rare words and phrases. These can be used as somewhat unnatural wording examples. The DB only contains speech samples which were judged by the speakers (learners) to be correctly pronounced but it still has a large number of pronunciation errors[6].

## 3. Transcription experiment

### 3.1. Selection of sentences and speakers

The DB contains about 24,000 sentence utterances by 100 male and 100 female speakers. Since it is impossible to type every utterance, a part of them should be adequately selected. Out of several sentence sets in the DB, a phonemically-rich sentence set was selected, which has 460 different sentences. Out of the set, 360 sentences were selected unbiasedly according to the number of words in the sentence and its perplexity. For the sentence length, considering capacity of human STM (7 chunks), the sentences were divided into 3 groups, 1) less than 6 words, 2) 6 or 7 words, and 3) more than 7 words. As for the perplexity, we also prepared 3 groups, 1) less, 2) rather, and 3) more predictable. In other words, we prepared 9 subsets of about 40 sentences each, which varied in their linguistic complexity.

The DB contains pronunciation proficiency labels of every speaker rated by five native teachers. With the labels, unbiased selection of speakers was also possible for each subset. We selected 90 male speakers by excluding 10 with extremely high or low scores. Finally, 360 (90×4) speech samples were prepared.

### 3.2. Measurement of quick typing ability of the subjects

In the transcription (typing) experiment, the subjects were asked to write down what they just heard without any guessing. But no guessing during listening is strictly impossible. In order to prevent the subjects from the *deep* guessing, we designed the experiment so that the minimum duration of typing should be provided for the subject according to length of the sentence and his/her typing ability. To realize this design, the ability of quick typing was measured for each subject in the following manner.

For a given speech sample, length of the pause ( $T_p$ ) was measured by a simple power threshold method. Using length of the sentence ( $T_s$ ) and  $T_p$ , the presentation interval from the end of the sentence to the beginning of the following one was set to

$$T = \alpha(T_s - T_p) - T_s,$$

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----- +1.00 +1.00 - silB[      0- 3600000]<-63.33> = silB[      0- 3600000]<-63.33> silB match -
iris    -1.64 -1.64 S   Y[ 3600000- 5800000]<-60.60> = Y[ 3600000- 5700000]<-60.33> Y_cor match S
iris    -1.64 -1.64 -   r[ 5800000- 6100000]<-90.74> = y[ 5700000- 6200000]<-73.09> y_rep match -
iris    -1.64 -1.64 W   I[ 6100000- 7200000]<-69.31> = i[ 6200000- 7200000]<-58.44> i_rep match S
iris    -1.64 -1.64 -   s[ 7200000- 8000000]<-68.13> = T[ 7200000- 9300000]<-63.58> T_rep match -
----- +1.00 +1.00 - null[ 8000000- 8000000]< +0.00> = null[ 9300000- 9300000]< +0.00> null match -
thinks  -4.29 -3.73 -   T[ 8000000- 9600000]<-64.39> = D[ 9300000- 9600000]<-72.58> D_rep match -
thinks  -4.29 -3.73 S   I[ 9600000-10000000]<-71.58> = i[ 9600000-10600000]<-58.34> i_rep match S
thinks  -4.29 -3.73 -   G[10000000-113000000]<-68.55> = G[10600000-113000000]<-76.30> G_cor match -
thinks  -4.29 -3.73 -   k[113000000-124000000]<-79.76> = k[113000000-124000000]<-79.76> k_cor match -
thinks  -4.29 -3.73 -   s[124000000-143000000]<-63.36> = s[124000000-143000000]<-63.36> s_cor match -
----- +1.00 +1.00 -   sp[143000000-237000000]<-56.24> = sp[143000000-237000000]<-56.24> sp match -

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Figure 1: An example of the segmental, prosodic, and linguistic analysis of the 360 JE utterances

where  $\alpha$  was determined in advance dependently on each subject. The subject was allowed to start typing just after hearing the initial word, and therefore, the actual duration allowed for typing the sentence was  $\alpha(T_s - T_p)$ . Using native speech,  $\alpha$  was determined for each subject, which ranged from 3.0 to 4.0.

### 3.3. Transcription of Japanese English speech

6 adult Americans participated in the experiment. It is very interesting to analyze the typing results of native speakers without any exposure to JE speech. Since it was very hard to find these people in Japan, however, we adopted subjects on a condition that their native language was American English (AE) and their stay in Japan was less than a year. 1 Canadian, who has never talked with a Japanese, also took part in the experiment.

Control of the interval between the two stimuli was already described in Section 3.2. If it is done for every stimulus, it may result in increasing simple typing errors. To avoid this, we gave correction time to the subjects every three presentations of the stimuli. Here, the time was provided as long as they wanted but they were strongly requested not to guess any additional words.

120 sets of 3 sentences were presented sequentially to the subjects through headphones, who were required to write down on a PC what they heard. The obtained transcriptions would show us whether they recognized the individual words correctly. But it was still uncertain whether they received some meaningful content. Then, we prepared another task, where the subjects were asked to indicate whether they had some questions on the utterance. The indication was done after each transcription by writing “X” when they had some and “O” when they had none.

Matching between the transcriptions and the reading sheets used in the recording would give us the words that could not be transcribed correctly. We ignored mismatches only by their word forms, walk and walked for example, although the number of mismatches of this type was quite small. Finally, we got data of probability of the individual words being correctly recognized by American listeners, ranging from 0/6 to 6/6.

## 4. Acoustic and linguistic analysis

### 4.1. Phoneme error detection

Every JE utterance was time-aligned with a phoneme sequence obtained by referring to its prompted sentence and PRONLEX pronunciation lexicon. Next, the phoneme sequence was converted into a phoneme network to predict phoneme errors (replacement, deletion, and insertion) of the pronunciation. The conversion rules were written by carefully and deeply considering characteristics of JE. Recognizing the utterances with the network gave us the phoneme errors. Acoustic models used here were multi-mixture monophones trained with TIMIT database,

where speakers with strong local accents or strong linking between phones were excluded although they were native.

### 4.2. Stress error detection

The resulting phoneme sequence was segmented into syllables by tsylb software, which can syllabify an arbitrary sequence of phonemes. After that, each syllable was automatically judged whether it was stressed with acoustic models of stressed syllables and unstressed ones[7], which were trained for each syllable group by using a database of sentences spoken carefully regarding sentence stress. Coarse spectrum envelope, power, pitch, duration, and voicing degree were utilized as acoustic parameters for the modeling with different HMM topologies for different syllable groups. The syllable groups were designed based upon syllable structures, V, CV, VC, and CVC for example. Stress detection performance with the acoustic models was measured in a speaker-closed experiment and it was 96%.

### 4.3. Linguistic unpredictability

Unpredictability of the individual words (perplexity) in the 360 utterances was estimated by using 1-gram and 2-gram language models trained with WSJ newspaper text corpus. 1-gram values can be used as rough estimates of familiarity of a word, which is one of the main factors affecting the mental lexical access.

Figure 1 shows an example of the analysis. Values of 1-gram and 2-gram, lexical stress of the word, results of the time-alignment, results of the recognition with the phoneme network, classification of the phoneme errors (replacement, deletion, or insertion), and results of the stress detection are shown in the figure. In this analysis, no detection or judgment was done in terms of intonation. This is because most of the sentences were declarative ones and in this case, there is little difference in intonation between Japanese and English. As for speech rhythm, intervals between two consecutive stressed syllables, which were automatically detected, were used as a predicting factor.

## 5. Prediction of the probability

### 5.1. Preparation of predicting factors

Probability of each of the JE words being correctly recognized was predicted with CART method, where a decision tree was built with training data. A question on a predicting factor was properly assigned to each node of the tree and answering the questions led to a leaf node which indicated how probably the word was recognized. The predicting factors had to be prepared by using the parameter values obtained in the acoustic/linguistic analysis and Table 1 lists a set of the factors used. They are divided into three groups; segmental, prosodic, and linguistic factors. These factors can be categorized into four levels from

Table 1: Predicting factors prepared for CART

segmental factors	level
#phonemes	P
#vowels	P
#consonants	P
#vowel replacements	P
distance vector of vowel rep.	P
#vowel insertions	P
#vowel deletions	P
#cons. rep.	P
distance vector of cons. rep.	P
#cons. insertions	P
#cons. deletions	P
#mismatches	P
word-level likelihood	W
phoneme-level likelihood	P
averaged likelihood	F
prosodic factors	level
#stressed syllables	Sy
stressed syl. %correct	Sy
stressed syl. accuracy	Sy
#stressed syllables correctly produced	Sy
#rep. of stress with unstress	Sy
#rep. of unstress with stress	Sy
#inserted stressed syllables	Sy
#inserted unstressed syllables	Sy
word duration	W
averaged syllable duration	Sy
pause length before the word	W
pause length after the word	W
averaged stress-to-stress interval	S
variance of stress-to-stress intervals	S
linguistic factors	level
part of speech	W
position in the sentence	S
1-gram score	W
2-gram score	W

a different viewpoint; frame, phoneme, syllable, word, and sentence level. A sentence level factor was calculated for each sentence and the unique value was assigned to every word in it.

## 5.2. Training of the decision trees

Transcriptions of the 360 utterances (about 2,600 words) by the 6 subjects gave us data of the correct recognition probability. Using the data, cross-validation was carried out to test the decision tree, where data of 89 speakers were used for training and those of the remaining 1 speaker were used for testing. By changing the testing speaker, every speaker was used in the testing. It was found that distribution of the probability over the words was biased, where words of 6/6 occupied 55 % of all the words. This bias was expected to cause an unexpected tree. To avoid this, besides the normal training method, we tentatively examined another tricky method of counting  $n/6$  ( $n < 6$ ) data more than once so that the distribution became unbiased. In the experiments, estimation of the probability was done with different conditions of the predicting factors, which are shown in Table 2. As for performance measurement, recall and precision factors were calculated by ignoring estimation errors by  $\pm 1/6$ .

## 5.3. Prediction by American/Japanese teachers of English

In order to compare CART prediction performance with human performance, a listening test was carried out. 4 American and 3 Japanese teachers of English participated in this experiment. Firstly, detailed descriptions of the transcription experiments

Table 2: Experimental conditions

CASE-1	only with segmental factors
CASE-2	only with prosodic factors
CASE-3	only with linguistic factors
CASE-4	only with acoustic factors
CASE-5	with all the factors

Table 3: Performance of the transcription

level	#spk.	#uttr.	%correct	rate of X
~2	2	16	64.1%	83.3%
~2.5	27	216	75.4%	56.7%
~3	38	304	82.3%	44.7%
~3.5	21	168	83.4%	33.7%
~4	2	16	91.3%	20.8%

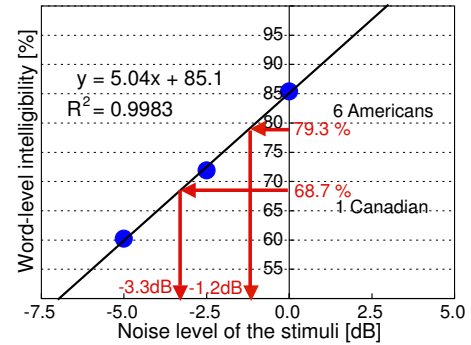


Figure 2: Word-level intelligibility for noisy utterances

were given to the teachers. Then, each of the 360 JE utterances was presented and they were asked to listen to it without looking at the intended sentence. After that, they read the sentence and rated each of the words in terms of how probably it was supposed to be transcribed by Americans. The rating was done with a 7-level scale, ranging from 0 to 6. The teachers were allowed to listen to the JE utterances as many times as they wanted. But the first listening had to be done without the looking.

## 5.4. Results and discussions

Table 3 shows performance of the 6 Americans' transcription separately for proficiency levels of the speakers and rate of "X", indicating that the listeners had something uncertain on the utterances. It is interesting that speakers of ~3 and ~3.5 levels have almost the same probability of their words' being correctly recognized but there is a significant difference between their rates of "X". This implies that speakers of higher levels should have better skills for meaningful speech communication. Average performance of the word-level transcription is 79.3% for the 6 Americans and 68.7% for the 1 Canadian. A small experiment of transcribing Japanese noisy utterances was done and its results are shown in Figure 2. It shows that 79.3% and 68.7% correspond to signal-to-noise ratios of  $-1.2$  dB and  $-3.3$  dB respectively. It implies that "Japanese being" corresponds to  $-1.2$  dB white noise addition when talking to native speakers with some exposure to JE and  $-3.3$  dB white noise without it.

Table 4 shows recall (R) and precision (P) in various conditions. C-1 to C-5 show results of the five conditions of Table 2. BL means baseline and it is chance-level performance, which was calculated by assuming random estimation. In this calculation, the ignorance of  $\pm 1/6$  mismatch was also considered. The table shows that CART performance naturally and strongly de-

Table 4: Prediction performance in various conditions[%]

		0/6	1/6	2/6	3/6	4/6	5/6	6/6	avg.
C-1	R	9.6	10.6	11.1	9.3	25.8	95.4	97.4	37.0
	P	34.2	42.9	41.7	51.7	59.4	76.9	75.4	54.6
C-2	R	15.9	4.7	3.7	18.6	33.5	96.3	95.6	38.3
	P	37.8	100	61.5	51.7	60.0	70.3	76.6	65.4
C-3	R	15.9	21.2	12.0	17.0	28.6	96.0	96.7	41.1
	P	43.9	48.2	58.1	50.0	57.2	64.7	78.9	57.3
C-4	R	15.9	11.7	18.5	17.8	27.4	95.2	96.4	40.4
	P	42.8	53.6	44.7	54.7	53.8	81.0	76.0	58.1
C-5	R	25.5	27.0	20.4	17.8	32.9	95.1	96.1	44.9
	P	42.3	53.8	51.9	62.5	56.6	79.7	79.3	60.9
C-5'	R	67.8	85.7	84.2	75.0	71.7	75.9	59.7	74.3
	P	38.2	46.1	28.3	44.2	50.0	95.8	93.6	56.6
A	R	55.4	47.6	19.7	24.7	24.3	89.2	91.4	50.3
	P	45.0	53.1	43.5	42.4	45.1	68.0	83.6	54.4
A'	R	44.5	49.5	47.7	52.5	62.7	87.5	83.2	61.1
	P	53.8	62.0	40.0	41.5	44.1	89.1	91.1	60.2
J	R	15.1	21.7	25.7	34.4	44.4	82.0	76.1	42.8
	P	20.6	40.1	25.2	31.7	32.6	81.2	80.5	44.5
BL	R	28.5	35.4	43.3	43.8	42.9	43.5	29.8	38.2
	P	7.1	11.0	15.6	22.6	33.0	79.4	73.4	34.6

depends upon the biased distribution of the probability and falling tendency from 6/6 to 0/6 is clearly found. Although the highest performance is achieved in C-5 out of the five cases, their recall rates of 0/6 to 4/6 are lower than those of chance-level. It is clear that this low performance is because of the biased distribution. C-5' shows results of the tricky training, where the bias problem was artificially solved. Performance of this tricky training is significantly higher than the chance-level performance both in terms of recall and precision. Data preparation for training the tree should be carefully done according to desired characteristics of the tree. The CART package had a function to show the most effective factor for the prediction by assuming that all the factors were independent. Although this assumption was not always valid, this function gave us interesting results. It showed that the most effective factor was "variance of stress-to-stress intervals" even though it was a sentence-level attribute, the second was "1-gram score", and the third was "phoneme-level likelihood". These results may imply the following. Rhythmical pronunciation is the most important key for high intelligibility. Next, plain wording should be learned. Lastly, correct pronunciation of individual phones should be acquired.

Performance comparison between human and machine was done using F-measure, which is often used to integrate two measures, recall and precision, into one to facilitate the comparison. F-measure is calculated as  $2PR/(P+R)$ . Before describing the human-machine comparison, however, several findings are shown here on differences in American and Japanese teachers' perceiving the intelligibility. Figure 3 shows F-measures of all the kinds of the probabilities in various conditions, where "American best" indicates the best prediction out of the 4 American teachers. A, A' and J in Table 4 show recall and precision of American avg., American best, and Japanese avg. respectively. In the case of American avg., F-measures of 0, 1, 5, and 6 are much higher than those of 2, 3, and 4. This denotes that it is much easier to detect the pronunciations with very low or very high intelligibility and much harder to label the pronunciations with middle intelligibility. In the case of Japanese avg., similar tendencies are found with regard to the 2, 3, 4, 5, and 6 cases. But F-measures of 0 and 1 are very low and that of 0 is the lowest among the seven cases. This surprising result indicates that it is the most difficult for Japanese teachers of English to detect

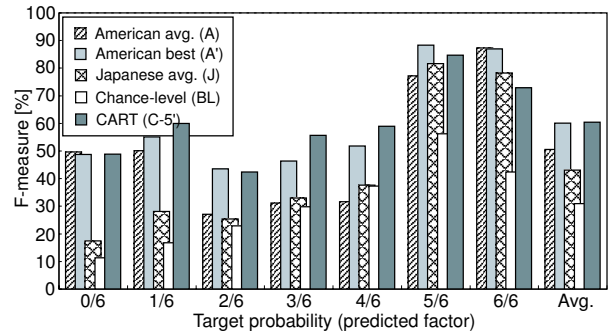


Figure 3: F-measures in various conditions

the completely *unintelligible* pronunciations. The CART analysis implied that the unintelligible pronunciations were likely to be broken with regard to English rhythm. Previous studies indicated that Japanese and English have completely different rhythmic structures[8]. Japanese teachers may be hardly able to perceive the broken rhythm. What about Japanese students? It is out of the question. As noted in Section 1, if this perceptual difference is not focused in English education in Japan, the students may be unable to do *listen and repeat* forever.

In the case of American best, it can be seen that the pronunciations with middle-level intelligibility are predicted rather well. Further, the figure definitely indicates that performance of the proposed CART-based method is completely comparable to the best human prediction performance. This result verifies remarkably high validity of the proposed method.

## 6. Conclusions

Intelligibility of the pronunciation, not its acoustic similarity to the native pronunciation, was strongly focused and acoustic or linguistic factors reducing the intelligibility were examined through CART. Although the transcription experiment was rather a small one, the evaluation experiments showed the proposed method could predict how probably individual words in JE utterances were perceived correctly by Americans as well as the best human teacher could. Further, this paper clarified a very critical problem of English education to Japanese, which is perception. The authors wish this work would be a trigger for the perception-based language learning. As future works, since another transcription experiment was finished, we're planning to do similar analysis based upon a larger amount of data with additional predicting factors and more refined acoustic models.

## 7. References

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